

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Ream et al.  
Appl. No.: 09/286,818  
Conf. No.: 5472  
Filed: April 6, 1999  
Title: PHARMACEUTICAL CHEWING GUM FORMULATIONS  
Art Unit: 1615  
Examiner: Ahmed, Hasan Syed  
Docket No.: 112703-035

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL  
LETTER**

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited on the enclosed PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of any cited foreign patent documents and non-patent documents are enclosed.

This Information Disclosure Statement is submitted:

- ☐ Within three months of filing of a national application; within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a Request for Continued Examination.
- ☒ After the period specified above, but before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application and is accompanied by one of:
  - ☒ Payment of the fee set forth in 37 CFR 1.17(p); or
  - ☐ The certification specified in 37 CFR 1.97(e) follows.

- ☐ [ ] After the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application and is accompanied by the fee set forth in 37 CFR 1.17(p) and the certification specified in 37 CFR 1.97(e) follows.
- ☐ [ ] The undersigned counsel for applicant(s) hereby certifies each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ [ ] The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.
- ☐ [ ] A copy of a Search Report from a corresponding foreign patent application is enclosed.
- ☐ [ ] A check in the amount of \$180 to cover the required fee is enclosed.
- ☒ [X] The Commissioner is hereby authorized to charge the amount of \$180 to cover the required fee to Deposit Account No. 02-1818.
- ☒ [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-1818.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Robert M. Barrett  
Reg. No. 30142  
Customer No. 29156

Dated: December 6, 2006

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number 09286818

Filing Date 1999-04-06

First Named Inventor Ream

Art Unit 1615

Examiner Name Ahmed, Hasan Syed

Attorney Docket Number 112703-035

## **U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5785984		2006-12-06	Kurihara et al.	
	2	3433872		1969-03-18	Ritter et al.	
	3	5869098		1999-02-09	Misra et al.	
	4					

If you wish to add additional U.S. Patent citation information please click the Add button.

## **U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

## **FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
-------------------	---------	--------------------------------------	-----------------------------	------------------------	------------------	---	--	----------------

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09286818
Filing Date		1999-04-06
First Named Inventor	Ream	
Art Unit	1615	
Examiner Name	Ahmed, Hasan Syed	
Attorney Docket Number	112703-035	

	1	10-298086	JP		1998-10-11			<input type="checkbox"/>
--	---	-----------	----	--	------------	--	--	--------------------------

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
	1	European Office Action; application no. 09982684.3 dated July 17, 2006 (8 pgs.)	<input type="checkbox"/>
	2	Supplemental Declaration of K. Warren Clark; dated June 17, 2004 (17 pgs.)	<input type="checkbox"/>
	3	Declaration of K. Warren Clark; dated June 17, 2004 (7 pgs.)	<input type="checkbox"/>
	4	Defendant's Answer and Counterclaims to Plaintiff's Complaint and Defendant's Demand for a Jury Trial; Filed September 28, 2004 (15 pgs.)	<input type="checkbox"/>
	5	Judann Dagnoli, "Fancy foods American brands gain on imports," (2 pgs.)	<input type="checkbox"/>
	6	Mehta, "The Development of Antacid, Analgesic, Stimulant and Antihistamine Dosage In Chewing Gum Dosage Forms And Their Bio-Absorption Studies," A Thesis submitted to the Massachusetts College of Pharmacy in Partial Fulfillment of the Requirements for the Degree of Master of Sciences in Pharmacy., dated Boston 1974; (106 pgs.)	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09286818
Filing Date	1999-04-06
First Named Inventor	Ream
Art Unit	1615
Examiner Name	Ahmed, Hasan Syed
Attorney Docket Number	112703-035

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Not for submission under 37 CFR 1.99)

Application Number	09286818
Filing Date	1999-04-06
First Named Inventor	Ream
Art Unit	1615
Examiner Name	Ahmed, Hasan Syed
Attorney Docket Number	112703-035

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Robert M. Barrett/	Date (YYYY-MM-DD)	2006-12-06
Name/Print	Robert M. Barrett	Registration Number	30142

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.